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Our Docket No.: 003918.P002XX3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edwin Dair, et al.

Application No.: 09/833,107

Filed: April 10, 2001

For: METHODS AND APPARATUSES  
FOR MULTIBOARD FIBER OPTIC  
MODULES AND FIBER OPTIC  
MODULE ARRAYS

Examiner: Unassigned

Art Group: 2633

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants in accordance with their duty of disclosure under 37 CFR §1.56 and in accordance with 37 CFR §1.97(c)(2), submit the enclosed PTO-1449 Forms. Pursuant to 37 CFR 1.98(d), copies of the patents, publications and other information listed on the enclosed Form PTO-1449 are not included herewith. Copies of these references can be found in prior U.S. Patent Applications upon which this application claims the benefit thereof.

Pursuant to 35 USC 120, the above referenced U.S. Patent Application claims the benefit of and is a continuation-in-part of Application No. 09/321,308, filed May 27, 1999.

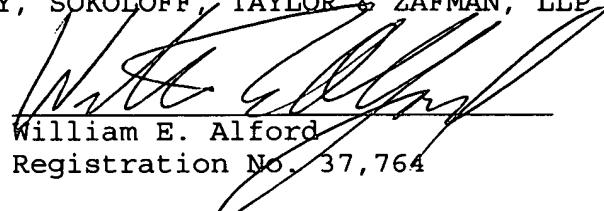
Pursuant to 37 CFR 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

This Information Disclosure Statement is being filed prior to a first office action or notice of allowance. Pursuant to 37 CFR §1.97(b), no fee is required for the filing of this Information Disclosure Statement.

Applicant respectfully requests that the cited documents be considered and that the enclosed PTO-1449 forms be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicant.

Respectfully submitted  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: May 7, 2002

  
William E. Alford  
Registration No. 37,764

CERTIFICATE OF MAILING

12400 Wilshire Boulevard,  
Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

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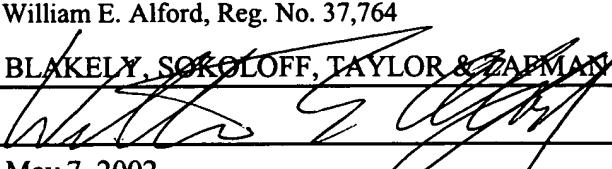
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No. <b>09/833,107</b>
		Filing Date <b>April 10, 2001</b>
		First Named Inventor <b>Edwin Dair</b>
		Group Art Unit <b>2874</b>
		Examiner Name <b>Unassigned</b>
Total Number of Pages in This Submission <b>12</b>		Attorney Docket Number <b>3918P002XX3</b>

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<b>ENCLOSURES (check all that apply)</b>		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <ul style="list-style-type: none"> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> </ul> <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> <b>RECEIVED</b> <div style="border: 1px solid black; padding: 2px; display: inline-block;">JUN 03 2002</div>		
Remarks		

### **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	William E. Alford, Reg. No. 37,764  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP		
Signature			
Date	May 7, 2002		

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Typed or printed name	Susan McFarlane		
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary.)</i>				<i>Complete if Known</i>	
Sheet	1	of	7	Application Number	09/833,107
				Filing Date	04/10/01
				First Named Inventor	Edwin Dair, et al.
				Group Art Unit	2874
				Examiner Name	Unassigned
				Attorney Docket Number	003918.P002XX3

## U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	3,792,284	2/12/74	Kaelin			
	3,809,908	5/7/74	Clanton			
	3,870,396	3/11/75	Racki, et al.			
	3,976,877	8/24/76	Thilays			
	4,161,650	7/17/79	Caouette, et al.			
	4,229,067	10/21/80	Love			
	4,273,413	6/16/81	Bendikens, et al.			
	4,347,655	9/7/82	Zory, et al.			
	4,419,072	4/10/79	Smith, et al.			
	4,432,604	2/21/84	Schwab			
	4,446,515	5/1/84	Sauer, et al.			
	4,449,244	5/15/84	Kopainsky			
	4,461,537	7/24/84	Raymer, II, et al.			
	4,493,113	1/8/85	Forrest, et al.			
	4,533,209	8/6/85	Segerson, et al.			
	4,534,616	8/13/85	Bowen, et al.			
	4,535,233	8/13/85	Abraham			
	4,539,476	9/3/85	Donuma, et al.			
	4,542,076	9/17/85	Bednarz, et al.			
	4,549,782	10/29/85	Miller			

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## FOREIGN PATENT DOCUMENTS

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				Examiner Name	Unassigned
(Use as many sheets as necessary.)				Attorney Docket Number	
Sheet	2	of	7	003918.P002XX3	

## U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
	4,549,783	10/29/85	Schmachtenberg, III			
	4,553,811	11/19/85	Becker, deceased, et al.			
	4,580,295	4/1/86	Richman			
	4,595,839	6/17/86	Braun, et al.			
	4,612,670	9/16/86	Henderson			
	4,625,333	11/25/86	Takezawa, et al.			
	4,647,148	3/3/87	Katagiri			
	4,678,264	7/7/87	Bowen, et al.			
	4,720,630	1/19/88	Takeuchi, et al.			
	4,727,248	2/23/88	Meur, et al.			
	4,807,955	2/28/89	Ashman, et al.			
	4,840,451	6/20/89	Sampson, et al.			
	4,844,581	7/4/89	Turner			
	4,881,789	11/21/89	Levinson			
	4,897,711	1/30/90	Blonder, et al.			
	4,913,511	4/3/90	Tabalba, et al.			
	4,945,229	7/31/90	Daly, et al.			
	4,979,787	12/25/90	Lichtenberger			
	4,986,625	1/22/91	Yamada, et al.			
	4,989,934	2/5/91	Zavacky, et al.			

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/833,107
				Filing Date	04/10/01
				First Named Inventor	Edwin Dair, et al.
				Group Art Unit	2874
				Examiner Name	Unassigned
(Use as many sheets as necessary.)				Attorney Docket Number	003918.P002XX3
Sheet	3	of	7		

## U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
	5,005,939	4/9/91	Arvanitakis, et al.			
	5,011,246	4/30/91	Corradetti, et al.			
	5,039,194	8/13/91	Block, et al.			
	5,043,775	8/27/91	Lee			
	5,093,879	3/3/92	Bregman, et al.			
	5,099,307	3/24/92	Go, et al.			
	5,104,243	4/14/92	Harding			
	5,109,453	4/28/92	Edwards, et al.			
	5,117,476	5/26/92	Yingst, et al.			
	5,122,893	6/16/92	Tolbert			
	5,136,152	8/4/92	Lee			
	5,155,786	10/13/92	Ecker, et al.			
	5,159,190	10/27/92	Hohberg, et al.			2002
	5,168,537	12/1/92	Rajasekharan, et al.			
	5,202,943	4/13/93	Carden, et al.			
	5,241,614	8/31/93	Ecker, et al.			
	5,259,054	11/2/93	Benzoni, et al.			
	5,280,191	1/18/94	Chang			
	5,285,512	2/8/94	Duncan, et al.			
	5,289,345	2/22/94	Corradetti, et al.			

## FOREIGN PATENT DOCUMENTS

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		Filing Date	04/10/01
		First Named Inventor	Edwin Dair, et al.
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Sheet	4	of	7
		Attorney Docket Number	003918.P002XX3

## **U.S. PATENT DOCUMENTS**

Exam Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	5,295,214	3/15/94	Card, et al.			
	5,337,396	8/9/94	Chen, et al.			
	5,337,398	8/9/94	Benzoni, et al.			
	5,345,524	9/6/94	Lebby, et al.			
	5,361,244	11/1/94	Nakamura, et al.			
	5,414,787	5/9/95	Kurata			
	5,416,668	5/16/95	Benzoni			
	5,416,870	5/16/95	Chun, et al.			
	5,416,871	5/16/95	Takahashi, et al.			
	5,416,872	5/16/95	Sizer, II et al.			
	5,428,704	6/27/95	Lebby, et al.			
	5,434,747	7/18/95	Shibata			
	5,446,814	8/29/95	Kuo, et al.			
	5,452,387	9/19/95	Chun, et al.			
	5,455,703	10/3/95	Duncan, et al.			
	5,462,630	7/11/95	Lebby, et al.			
	5,473,715	12/5/95	Schofield, et al.			
	5,475,783	12/12/95	Kurashima			
	5,482,658	1/9/96	Lebby, et al.			
	5,499,311	3/12/96	DeCusatis			

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## FOREIGN PATENT DOCUMENTS

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary.)</i>				<i>Complete if Known</i>	
Sheet	5	of	7	Application Number	09/833,107
				Filing Date	04/10/01
				First Named Inventor	Edwin Dair, et al.
				Group Art Unit	2874
				Examiner Name	Unassigned
				Attorney Docket Number	003918.P002XX3

## **U.S. PATENT DOCUMENTS**

Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
	5,499,312	3/12/96	Hahn, et al.			
	5,515,468	5/7/96	DeAndrea, et al.			
	5,528,408	6/18/96	McGinley, et al.			
	5,535,296	7/9/96	Uchida			
	5,537,391	8/9/94	Lebby			
	5,546,281	8/13/96	Poplawski, et al.			
	5,548,677	8/20/96	Kakii, et al.			
	5,550,941	8/27/96	Lebby, et al.			
	5,561,727	10/1/96	Akita, et al.			
	5,596,663	1/21/97	Ishibashi, et al.			
	5,636,298	6/3/97	Jiang, et al.			
	5,687,267	11/11/97	Uchida			
	5,708,743	1/13/98	DeAndrea, et al.			
	5,717,533	2/10/98	Poplawski, et al.			
	5,734,558	3/31/98	Poplawski, et al.			
	5,736,782	4/7/98	Schairer			
	5,751,471	5/12/98	Chen, et al.			
	5,767,999	6/16/98	Kayner			
	5,774,614	6/30/98	Gilliland, et al.			
	5,778,127	7/7/98	Gilliland, et al.			

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 6 of 7 Attorney Docket Number 003918.P002XX

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Complete if Known</i>	
(Use as many sheets as necessary.)				Application Number	09/833,107
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				Examiner Name	Unassigned
Sheet	6	of	7	Attorney Docket Number	003918.P002XX3

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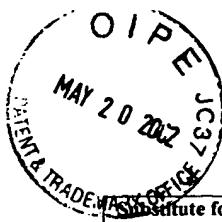
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				Examiner Name	Unassigned
				Attorney Docket Number	003918.P002XX3

**OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)**

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<sup>1</sup> Unique citation designation number.